Investment Analyst III

**Salary Group:** B26

**Class Code:** 1132

<table>
<thead>
<tr>
<th>CLASS TITLE</th>
<th>CLASS CODE</th>
<th>SALARY GROUP</th>
<th>SALARY RANGE</th>
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<tbody>
<tr>
<td>INVESTMENT ANALYST I</td>
<td>1130</td>
<td>B22</td>
<td>$51,614 - $84,479</td>
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<tr>
<td>INVESTMENT ANALYST II</td>
<td>1131</td>
<td>B24</td>
<td>$59,004 - $96,720</td>
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<tr>
<td><strong>INVESTMENT ANALYST III</strong></td>
<td><strong>1132</strong></td>
<td><strong>B26</strong></td>
<td><strong>$69,415 - $117,397</strong></td>
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<tr>
<td>INVESTMENT ANALYST IV</td>
<td>1133</td>
<td>B28</td>
<td>$83,991 - $142,052</td>
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<tr>
<td>INVESTMENT ANALYST V</td>
<td>1134</td>
<td>B30</td>
<td>$101,630 - $171,881</td>
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**GENERAL DESCRIPTION**

Performs advanced (senior-level) fundamental investment research and analysis work. Work involves conducting investment research and analyses on regions, industries, and companies; and evaluating investment information such as market operations and conditions. May supervise the work of others. Works under limited supervision, with considerable latitude for the use of initiative and independent judgment.

**EXAMPLES OF WORK PERFORMED**

Monitors, researches, and analyzes assigned geographic regions, economic sectors, and companies for investment merit and compliance with investment policy quality constraints.

Recommend security selection and weightings within assigned sectors and regions.

Attends industry conferences; makes company visits; and maintains contacts within assigned geographic regions, economic sectors, and companies.

Confers with trading staff to ensure best execution of trades.

Maintains documentation supporting investment recommendations and provides written and oral analysis as requested.

Participates in group discussions regarding potential impact on portfolios.

Participates in the evaluation of broker performance for the purpose of allocating trades.

Prepares reports, statistical studies, and presentation materials as needed; and assists senior staff on special projects.

May maintain information database and investment-related systems and software.

May supervise the work of others.

Performs related work as assigned.

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**Occupational Category:** Accounting, Auditing, and Finance

**Reviewed 9-1-2019**

Texas State Auditor's Office

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GENERAL QUALIFICATION GUIDELINES

EXPERIENCE AND EDUCATION

Experience in investment research or quantitative analysis work. Graduation from an accredited four-year college or university with major coursework in finance, accounting, mathematics, business administration, or a related field is generally preferred. Experience and education may be substituted for one another.

KNOWLEDGE, SKILLS, AND ABILITIES

Knowledge of statistical concepts, methods, and their application to investment research and analysis; of accounting, securities market operations, financial theory, and securities markets; of institutional equity research or investment banking; and of industry standard investment-related software and systems.

Skill in applying investment research techniques and in analyzing and evaluating data.

Ability to apply financial research techniques, to conduct exhaustive searches and evaluations of vast amounts of data, to develop and maintain research and company contacts, to comply with ethics standards and professional codes of conduct, to work accurately with numerical data, to communicate effectively, and to supervise the work of others.

REGISTRATION, CERTIFICATION, OR LICENSURE

May require certification as a Chartered Financial Analyst (CFA).